

# Medical Commission

Licensing. Accountability. Leadership.

### Rules Workshop

New Profession: Certified Anesthesiologist Assistants

December 6, 2024 - 1:30 pm to 3:30 pm Virtual via Teams Webinar

### Rules Workshop Agenda



The Washington Medical Commission (WMC) is providing a virtual option for this meeting. To request this document in another format, call 1-800-525-0127. Deaf or hard of hearing customers, please call 711 (Washington Relay) or email <a href="mailto:doh.information@doh.wa.gov">doh.wa.gov</a>.

#### Virtual via Teams Webinar

Commissioners and staff will attend this workshop virtually.

In-person at Capital Event Center (ESD 113), 6005 Tyee Drive SW, Tumwater, Washington

#### Friday, December 6, 2024 - 1:30 pm

#### **New Profession: Anesthesiologist Assistants**

Register for this meeting at: Rules Workshop

	Agenda	Page(s)
1. Housekeeping		
2. Attendance verification	า	
3. Open DOH workshop r	egarding fees	
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5. Draft language discuss a. Suggestions for r	sion revisions to current draft	3-27
6. Call for written comme	ents	
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9. Close workshop		
Presentation		28-38
Senate Bill 5184: <u>5184.SL.pdf (v</u>	va.gov)	
Anesthesiologist Assistants: RC	SW 18.71D	
CR-101, Preproposal statement of inquiry: WSR #24-18-057		
Recordings of Previous Workshops		
10/14/2024	11/4/2024	11/18/2024

#### SB 5184 Implementation Draft

WAC Chapter 246-921 ANESTHESIOLOGIST ASSISTANTS-WASHINGTON
MEDICAL COMMISSION

#### 246-921-005 Definitions.

The definitions in this section apply throughout this chapter unless the context clearly requires otherwise:

- (1) "Anesthesiologist" means an actively practicing, boardeligible physician licensed under chapter 18.71, 18.71B, or 18.57 RCW who has completed a residency or equivalent training in anesthesiology.
- Anesthesiologist assistant" or "Certified Anesthesiologist Assistant" means a person who has successfully completed an accredited anesthesiologist assistant program approved by the commission and has successfully passed the certification exam offered by the National Commission for Certification of Anesthesiologist Assistants (NCCAA), or other exam approved by the commission. These individuals, who may be known as "AA" or "CAA", are licensed by the commission to assist in developing and implementing anesthesia care plans for patients under the supervision of an anesthesiologist or group of anesthesiologists approved by the commission to supervise such assistant.

(3) "Assist" means the anesthesiologist assistant personally performs those duties and responsibilities delegated by the anesthesiologist. Delegated services must be consistent with the delegating anesthesiologist's education, training, experience, and active practice. Delegated services must be of the type that a reasonable and prudent anesthesiologist would find within the scope of sound medical judgment to delegate.

(4)

- (5) "Commission" means the Washington medical commission.
- (6) "Commission approved program" means a Commission on Accreditation of Allied Health Education Programs (CAAHEP) accredited education program specifically designed for training anesthesiologist assistants or other substantially equivalent organization(s) approved by the commission.
- (7) "Practice medicine" has the same meaning defined in RCW 18.71.011.
- (8) "Secretary" means the secretary of health or the secretary's designee.
- (9) "Supervise" means the immediate availability of the medically directing anesthesiologist for consultation and direction of the activities of the anesthesiologist assistant. A medically directing anesthesiologist is immediately available if they are in physical proximity that allows the anesthesiologist

to reestablish direct contact with the patient to meet medical needs and any urgent or emergent clinical problems, and personally participating in the most demanding procedures of the anesthesia plan including, if applicable, induction and emergence. These responsibilities may also be met through coordination among anesthesiologists of the same group or department. Supervision through remote or telecommunications methods are not permitted under this definition and rule.

#### 246-921-100 Application withdrawals.

An application for a license may not be withdrawn after the commission determines that grounds exist for denial of the license or for the issuance of a conditional license under RCW 18.130. Applications that are subject to investigation of unprofessional conduct or impaired practice may not be withdrawn.

### 246-921-105 Anesthesiologist assistant—Requirements for licensure.

(1) An applicant for licensure as an anesthesiologist assistant must submit to the commission:

- (a) A completed application on forms provided by the commission;
- (b) Proof the applicant has completed a CAAHEP accredited commission approved anesthesiologist assistant program and successfully passed the NCCAA examination;
- (c) All applicable fees as specified in WAC 246-921-990; and
  - (d) Other information required by the commission.
- (2) The commission will only consider complete applications with all supporting documents for licensure.
- (3) Internationally trained individuals do not currently have a pathway to licensure as an anesthesiologist assistant due to ineligibility for the certifying exam offered by NCCAA.

#### 246-921-110 Background check—Temporary practice permit.

The commission may issue a temporary practice permit when the applicant has met all other licensure requirements, except the national criminal background check requirement. The applicant must not be subject to denial of a license or issuance of a conditional license under this chapter.

(1) If there are no violations identified in the Washington criminal background check and the applicant meets all other licensure conditions, including receipt by the department of

health of a completed Federal Bureau of Investigation (FBI) fingerprint card, the commission may issue a temporary practice permit allowing time to complete the national criminal background check requirements.

A temporary practice permit that is issued by the commission is valid for six months. A one-time extension of six months may be granted if the national background check report has not been received by the commission.

- (2) The temporary practice permit allows the applicant to work in the state of Washington as an anesthesiologist assistant during the time period specified on the permit. The temporary practice permit is a license to practice medicine as an anesthesiologist assistant provided that a supervision arrangement exists with an anesthesiologist or anesthesiologists of the same group or department as provided in this rule.
- (3) The commission issues a license once it receives the national background check report, as long as the report is not negative, and the applicant meets all other licensing requirements.
- (4) The temporary practice permit is no longer valid after the license is issued or the application for a full license is denied.

### 246-921-115 How to obtain an expedited temporary license-Military spouse.

A military spouse may receive a temporary license while completing any specific additional requirements that are not related to training or practice standards for anesthesiologist assistants under the following conditions.

- (1) An expedited temporary license may be issued to an applicant who is a military spouse and:
- (a) Is moving to Washington as a result of the military person's transfer to the state of Washington;
- (b) Holds an unrestricted, active license in another state or United States territory that the commission currently deems to have substantially equivalent licensing standards for an anesthesiologist assistant to those in the state of Washington; and
- (c) Is not subject to any pending investigation, charges, or disciplinary action by the regulatory body in any other state United States territory in which the applicant holds a license.
- (2) An expedited temporary license grants the applicant the full scope of practice for the anesthesiologist assistant.
- (3) An expedited temporary license expires when any one of the following occurs:
  - (a) A full or limited license is issued to the applicant;

- (b) A notice of decision on the application is mailed to the applicant, unless the notice of decision on the application specifically extends the duration of the expedited temporary license; or
- (c) One hundred eighty days after the expedited temporary license is issued.
- (4) To receive an expedited temporary license, the applicant must:
- (a) Meet all requirements and qualifications for the license that are specific to the training, education, and practice standards for anesthesiologist assistants;
- (b) Submit a written request for an expedited temporary license; and
- (c) Submit a copy of the military service member's orders and a copy of one of the following:
- (i) The military-issued identification card showing the military service member's information and the applicant's relationship to the military service member;
  - (ii) A marriage license; or
  - (iii) A state registered domestic partnership.
- (5) For the purposes of this section the following definitions shall apply:
- (a) "Military spouse" is someone married to or in a registered domestic partnership with a military person who is

serving in the United States Armed Forces, the United States

Public Health Service Commissioned Corps, or the Merchant Marine

of the United States; and

(b) "Military person" means a person serving in the United States Armed Forces, the United States Public Health Service Commissioned Corps, or the Merchant Marine of the United States.

### 246-921-120 Exemption from licensure—Qualified physician assistant pathway.

- (1) A physician assistant may practice medicine within the full scope of an anesthesiologist assistant without requiring a separate license under RCW 18.71D if the physician assistant:
  - (a) Fulfills of the practice, education, training, and licensure requirements specified in WAC 246-918-080;
  - (b) Graduation from an approved program accredited by the Commission on Accreditation of Allied Health Education Programs (CAAHEP) that is specifically designed to train anesthesiologist assistants;
  - (c) Has successfully passed and maintains certification through the National Council on Certification of Anesthesiologist Assistants; and

(d) Is supervised according to the requirements in this section and RCW 18.71D by a physician anesthesiologist licensed under RCW 18.71, 18.71B, or RCW 18.57.

### 246-921-125 Renewal, continuing medical education cycle, and maintenance of licensure.

- (1) Under WAC  $\underline{246-12-020}$ , an initial credential issued within ninety days of the anesthesiologist assistant's birthday does not expire until the anesthesiologist assistant's next birthday.
- (2) An anesthesiologist assistant must renew their license every two years on their birthday. Renewal fees are accepted no sooner than ninety days prior to the expiration date.
- (3) Each anesthesiologist assistant shall have four years to meet the continuing medical education requirements as defined by this rule. The review period begins at the second renewal after initial licensure or second renewal after reactivation of an expired license.
- (4) An anesthesiologist assistant must complete two hundred hours of continuing education every four years as required in chapter 246-12 WAC, Part 7, which may be audited for compliance at the discretion of the commission.

- (5) In lieu of two hundred hours of continuing medical education the commission will accept:
  - (a) Current certification with the NCCAA; or
- (b) Compliance with a continuing maintenance of competency program through NCCAA; or
  - (c) Other programs approved by the commission.
- (6) The commission approves the following categories of creditable continuing medical education as accredited by the Accreditation Council for Continuing Medical Education (ACCME) or affiliated education providers. A minimum of eighty credit hours must be earned in Category I.
- Category I Continuing medical education activities with accredited sponsorship through ACCME or recognized affiliated education providers.
- Category II Continuing medical education activities with nonaccredited sponsorship and other meritorious learning experience.
- (7) The commission adopts the standards approved by the ACCME for the evaluation of continuing medical education requirements in determining the acceptance and category of any continuing medical education experience.

- (8) An anesthesiologist assistant does not need prior approval of any continuing medical education. The commission will accept any continuing medical education that reasonably falls within the requirements of this section and relies upon each anesthesiologist assistant's integrity to comply with these requirements.
- (9) A continuing medical education sponsor does not need to apply for or expect to receive prior commission approval for a formal continuing medical education program. The continuing medical education category will depend solely upon the accredited status of the organization or institution. The number of hours may be determined by counting the contact hours of instruction and rounding to the nearest quarter hour. The commission relies upon the integrity of the program sponsors to present continuing medical education for the anesthesiologist assistant that constitutes a meritorious learning experience.

### 246-921-130 Training in suicide assessment, treatment, and management.

(1) A licensed anesthesiologist assistant must complete a one-time training in suicide assessment, treatment, and management. The training must be at least six hours in length and may be completed in one or more sessions.

- (2) The training must be completed by the end of the first full continuing education reporting period after initial licensure.
- (3) The training must be on the model list developed by the department of health under RCW 43.70.442.
- (4) The hours spent completing training in suicide assessment, treatment, and management count toward meeting applicable continuing education requirements in the same categories specified in WAC 246-921-125.
- (5) The commission exempts any licensed anesthesiologist assistant from the training requirements of this section if the anesthesiologist assistant has only brief, limited, or no patient contact.

### 246-921-135 Health equity continuing education training requirements.

- (1) An anesthesiologist assistant must complete two hours of health equity continuing education training every four years as described in WAC 246-12-800 through 246-12-830.
- (2) The two hours of health equity continuing education an anesthesiologist assistant completes count toward meeting applicable continuing education requirements in the same categories specified in WAC 246-921-125.

#### 246-921-140 Retired license.

- (1) To obtain a retired license, an anesthesiologist assistant must comply with chapter 246-12 WAC.
- (2) An anesthesiologist assistant with a retired license must have a supervision arrangement with a physician anesthesiologist in order to practice except when serving as a "covered volunteer emergency worker" as defined in RCW 38.52.180 (5)(a) and engaged in authorized emergency management activities or serving under chapter 70.15 RCW.
- (3) An anesthesiologist assistant with a retired license may not receive compensation for health care services.
- (4) An anesthesiologist assistant with a retired license may practice under the following conditions:
- (a) In emergent circumstances calling for immediate action; or
- (b) Intermittent circumstances on a part-time or full-time nonpermanent basis.
- (5) A retired license expires every two years on the license holder's birthday. Retired credential renewal fees are

accepted no sooner than ninety days prior to the expiration date.

(6) An anesthesiologist assistant with a retired license shall report one hundred hours of continuing education at every renewal.

### 246-921-145 Returning to active status when a license has expired.

- (1) To return to active status the anesthesiologist assistant must meet the requirements of chapter <u>246-12</u> WAC, Part 2, which includes paying the applicable fees under WAC 246-921-990 and meeting the continuing medical education requirements under WAC 246-921-125.
- (2) If the license has expired over three years, the anesthesiologist assistant must:
  - (a) Meet requirements in subsection (1) of this section;
- (b) Meet the current licensure requirements under WAC 246-921-105; and
- (c) Satisfy any demonstration of competence requirements deemed necessary by the commission. Demonstration of competence may take the form of clinical knowledge examinations or fitness for duty evaluations conducted by commission approved entities.

#### 246-921-150 Anesthesiologist assistant identification.

- (1) An anesthesiologist assistant must clearly identify themselves as an anesthesiologist assistant and must appropriately display on their person identification as an anesthesiologist assistant. An anesthesiologist assistant may identify themselves as an anesthesiologist assistant (AA) or a certified anesthesiologist assistant (CAA).
- (2) An anesthesiologist assistant must not present themselves in any manner which would tend to mislead the public as to their title.

#### 246-921-155 Mandatory reporting.

The commission adopts the rules for mandatory reporting in chapter  $246-16\ \text{WAC}$ .

#### 246-921-160 Practice limitations and scope of practice.

(1) An anesthesiologist assistant is required to have a supervision arrangement with an anesthesiologist or anesthesiologists of the same group or department as provided by this rule. The supervision arrangements are not required to be filed with the commission.

- (2) Duties which an anesthesiologist may delegate to an anesthesiologist assistant include but are not limited to:
- (a) Assisting with preoperative anesthetic evaluations, postoperative anesthetic evaluations, and patient progress notes, all to be cosigned by the supervising anesthesiologist within 24 hours;
- (b) Administering and assisting with preoperative consultations;
- (c) Under the supervising anesthesiologist's consultation and direction, order perioperative pharmaceutical agents, medications, and fluids, to be used only at the facility where ordered, including but not limited to controlled substances, which may be administered prior to the co-signature of the supervising anesthesiologist. The supervising anesthesiologist may review and if required by the facility or institutional policy must cosign these orders in a timely manner;
- (i) For the purposes of this section, an anesthesiologist assistant under the supervising anesthesiologist consultation and direction is permitted to order the items specified in, but not limited to item (c), so long as the items are used within the facility where ordered.
- (ii) For the purposes of this chapter, ordering pharmaceuticals, agents, medications, and fluids is not considered prescribing as noted in (3) of this section.

- (d) Changing or discontinuing a medical treatment plan, after consultation with the supervising anesthesiologist;
- (e) Calibrating anesthesia delivery systems and obtaining and interpreting information from the systems and monitors, in consultation with an anesthesiologist;
- (f) Assisting the supervising anesthesiologist with the implementation of medically accepted monitoring techniques;
- (g) Assisting with basic and advanced airway interventions, including but not limited to endotracheal intubation, laryngeal mask insertion, and other advanced airways techniques;
- (h) Establishing peripheral intravenous lines, including subcutaneous lidocaine use;
  - (i) Establishing radial and dorsalis pedis arterial lines;
- (j) Assisting with general anesthesia, including induction, maintenance, and emergence;
- (k) Assisting with procedures associated with general anesthesia, such as but not limited to gastric intubation;
- (1) Administering intermittent vasoactive drugs and starting and titrating vasoactive infusions for the treatment of patient responses to anesthesia;
- (m) Assisting with spinal and intravenous regional
  anesthesia;
- (n) Maintaining and managing established neuraxial epidurals and regional anesthesia;

- (o) Assisting with monitored anesthesia care;
- (p) Evaluating and managing patient-controlled analgesia, epidural catheters, and peripheral nerve catheters;
  - (q) Obtaining venous and arterial blood samples;
- (r) Assisting with, ordering, and interpreting appropriate preoperative, point of care, intraoperative, or postoperative diagnostic tests or procedures as authorized by the supervising anesthesiologist;
- (s) Obtaining and administering perioperative anesthesia and related pharmaceutical agents including intravenous fluids and blood products;
- (t) Participating in management of the patient while in the preoperative suite and recovery area;
- (u) Providing assistance to a cardiopulmonary resuscitation team in response to a life-threatening situation;
- (v) Participating in administrative, research, and clinical teaching activities as authorized by the supervising anesthesiologist; and
- (w) Assisting with such other tasks not prohibited by law under the supervision of a licensed anesthesiologist that an anesthesiologist assistant has been trained and is proficient to assist with.
- (3) Nothing in this section shall be construed to prevent an anesthesiologist assistant from having access to and being

able to obtain drugs as directed by the supervising anesthesiologist. An anesthesiologist assistant may not prescribe, order, compound, or dispense drugs, medications, or devices of any kind except as authorized in (2) of this section.

(4) Signing Authority: An anesthesiologist assistant may sign and attest to any certificates, cards, forms, or other required documentation that the anesthesiologist assistant's supervising anesthesiologist may sign, provided that it is within the anesthesiologist assistant's scope of practice.

#### 246-921-165 Supervision ratios and group supervision

- (1) An anesthesiologist may themselves supervise no more than four anesthesiologist assistants. If a supervision ratio above 4:1 is needed, the anesthesiologist may submit a request for an exception to the commission using a form provided by the commission.
- (2) In the exception request, the anesthesiologist must provide:
  - (a) A descriptive justification of need;
- (b) What quality review and improvement mechanisms are in place to maintain the patient safety and the standard of care; and

- (c) What escalation and anesthesiologist backup procedures are in place should multiple anesthesiologist assistants require the presence or assistance of the anesthesiologist.
- (3) Those submitting exception requests may, at the sole discretion of the commission, be denied. In the event of a request denial, requestors are entitled to appeal the decision utilizing the brief adjudication process as defined in WAC 246-11-425.
- (4) The commission permits a group supervision model for anesthesiologist assistants in settings where the anesthesiologist led Anesthesia Care Team:
- (a) Operates in a single physical location such as a hospital or clinic;
- (b) Does not operate above the 4:1 ratio without a commission granted exemption as required in these rules; and
- (c) Has protocols and staffing available to designate backup and on-call anesthesiologists.

### 246-921-170 Notification of investigation or disciplinary action.

The anesthesiologist assistant shall notify their supervising anesthesiologist whenever the anesthesiologist assistant is the subject of an investigation or disciplinary

action by the commission. The commission may notify the supervising anesthesiologist or other supervising anesthesiologist of such matters as appropriate.

#### 246-921-305 Sexual misconduct.

- (1) The following definitions apply throughout this section unless the context clearly requires otherwise.
- (a) "Patient" means a person who is receiving health care or treatment or has received health care or treatment without a termination of the anesthesiologist assistant-patient relationship. The determination of when a person is a patient is made on a case-by-case basis with consideration given to a number of factors, including the nature, extent and context of the professional relationship between the anesthesiologist assistant and the person. The fact that a person is not actively receiving treatment or professional services is not the sole determining factor.
- (b) "Key third party" means a person in a close personal relationship with the patient and includes, but is not limited to, spouses, partners, parents, siblings, children, guardians and proxies.
- (2) An anesthesiologist assistant shall not engage in sexual misconduct with a current patient or a key third party.

An anesthesiologist assistant engages in sexual misconduct when they engage in the following behaviors with a patient or a key third party:

- (a) Sexual intercourse or genital to genital contact;
- (b) Oral to genital contact;
- (c) Genital to anal contact or oral to anal contact;
- (d) Kissing in a romantic or sexual manner;
- (e) Touching breasts, genitals or any sexualized body part for any purpose other than appropriate examination or treatment;
- (f) Examination or touching of genitals without using gloves, except for examinations of an infant or prepubescent child when clinically appropriate;
  - (g) Not allowing a patient the privacy to dress or undress;
- (h) Encouraging the patient to masturbate in the presence of the anesthesiologist assistant or masturbation by the anesthesiologist assistant while the patient is present;
- (i) Offering to provide practice-related services, such as medications, in exchange for sexual favors;
  - (j) Soliciting a date;
- (k) Engaging in a conversation regarding the sexual history, preferences or fantasies of the anesthesiologist assistant.
- (3) An anesthesiologist assistant shall not engage in any of the conduct described in subsection (2) of this section with

- a former patient or key third party if the anesthesiologist assistant:
- (a) Uses or exploits the trust, knowledge, influence, or emotions derived from the professional relationship; or
- (b) Uses or exploits privileged information or access to privileged information to meet the anesthesiologist assistant's personal or sexual needs.
- (4) Sexual misconduct also includes sexual contact with any person involving force, intimidation, or lack of consent; or a conviction of a sex offense as defined in RCW 9.94A.030.
- (5) To determine whether a patient is a current patient or a former patient, the commission will analyze each case individually, and will consider a number of factors including, but not limited to, the following:
  - (a) Documentation of formal termination;
- (b) Transfer of the patient's care to another health care provider;
  - (c) The length of time that has passed;
  - (d) The length of time of the professional relationship;
- (e) The extent to which the patient has confided personal or private information to the anesthesiologist assistant;
  - (f) The nature of the patient's health problem;
  - (g) The degree of emotional dependence and vulnerability.

- (6) This section does not prohibit conduct that is required for medically recognized diagnostic or treatment purposes if the conduct meets the standard of care appropriate to the diagnostic or treatment situation.
- (7) It is not a defense that the patient, former patient, or key third party initiated or consented to the conduct, or that the conduct occurred outside the professional setting.
- (8) A violation of any provision of this rule shall constitute grounds for disciplinary action.

#### 246-921-310 Abuse.

- (1) An anesthesiologist assistant commits unprofessional conduct if the anesthesiologist assistant abuses a patient. An anesthesiologist assistant abuses a patient when they:
- (a) Make statements regarding the patient's body, appearance, sexual history, or sexual orientation that have no legitimate medical or therapeutic purpose;
  - (b) Remove a patient's clothing or gown without consent;
- (c) Fail to treat an unconscious or deceased patient's body or property respectfully; or
- (d) Engage in any conduct, whether verbal or physical, which unreasonably demeans, humiliates, embarrasses, threatens, or harms a patient.

(2) A violation of any provision of this rule shall constitute grounds for disciplinary action.

#### 246-921-990 Anesthesiologist assistant fees and renewal cycle

The Secretary of the Department of Health has authority over the fees. This section will be addressed in an upcoming workshop.



New Profession – Anesthesiologist Assistants
Rules Workshop
December 6, 2024

### Agenda



Attendance verification

Open DOH workshop regarding fees

Open WMC workshop

Draft language discussion

Call for written comments

Panel vote

Next steps

Close workshop



## Attendance Verification



### CAA/AA Licensing Fees

- Fee authority rests with the DOH Secretary
- Collaborative process with the WMC
- Proposed fees will be presented
- Feedback and questions welcomed

# Open WMC Workshop

### Keep in mind...

### Important Information

- Senate Bill 5184 codified as chapter 18.71D RCW
- WMC is the authority for:
  - Allopathic physicians (MD)
  - Physician Assistants (PA)
  - Certified Anesthesiologist Assistants (CAA or AA)
- For other professions, visit doh.wa.gov.



### Proposed Rule Development Timeline

Preproposal Statement of Inquiry, or CR-101, filed

Request to initiate CR-102, Proposed Rules

CR-103 – Final rule adoption

October 2024

May 2025

Late 2025

August 2024

January 2025

Aug. 2025

Interested parties work begins – workshops, drafts, formal input

Public hearing and written comments

Rules are in effect



### Draft language

### Suggestions

Questions

Panel Vote



### Motion Passes Next Steps

Request to Initiate CR-102

Hearing May 9, 2025

Rule effective fall 2025



### Motion Does Not Pass Next Steps

Workshop – December 16<sup>th</sup>

Request to Initiate CR-102

Hearing May 9, 2025





# WASHINGTON Medical Commission

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Thank you!

Send your written comments to: medical.rules@wmc.wa.gov